

# **Critical Theory and the Frankfurt School**

# **I. Psychoanalysis and Advertising**

Background and Context of  
Frankfurt School

# Advertising and Propaganda

## Edward Bernays

- The “Father of Public Relations”
- Nephew of Sigmund Freud
- PR was invented as "peacetime propaganda." Bernays was inspired by the mass persuasion of the public during WWI.





# History of Advertising

- Information does not drive behavior.
- Bernays helped transform advertising from a means of conveying information into an art of manipulation.
- Because facts do not always persuade, businesses needed to appeal to people's emotions. Products were now seen as a means of *expressing one's inner self to others*.



# “Torches of Freedom”

- Bernays helped make smoking by women socially acceptable
- Smoking was associated with power and independence
- “Torches of Freedom” suggests that to be against smoking is to be against women’s right to vote!





# The Birth of Consumer Culture

- Advertising helped transform America into a NEEDS culture into a DESIRE culture.
- Consumerism is necessary to avoid **UNDER-CONSUMPTION**: to grow the economy, more stuff has to be produced, which means that people have to buy more stuff, which can't happen if people don't *desire* more stuff!

# The Birth of Consumer Culture

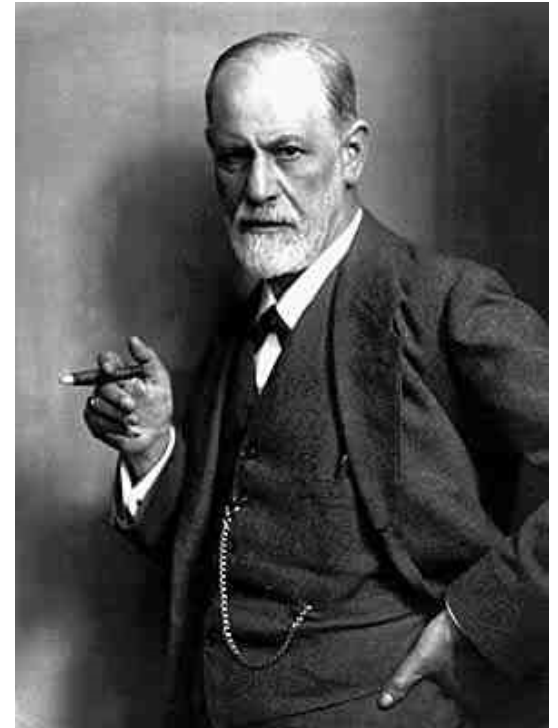
- President Herbert Hoover's "Happiness Machines"
- Consumerism is necessary for a healthy economy and stable political order
- People must be made happy and docile



# Sigmund Freud and the 'Repressive Hypothesis'

## Freud's 'Discoveries'

1. Linked Childhood to adult behaviors
2. Libido and infantile "sexuality": infants reach towards pleasure and away from pain
3. Repression causes pathologies (e.g. neurosis)
4. Morality derived from repressive childhood upbringing



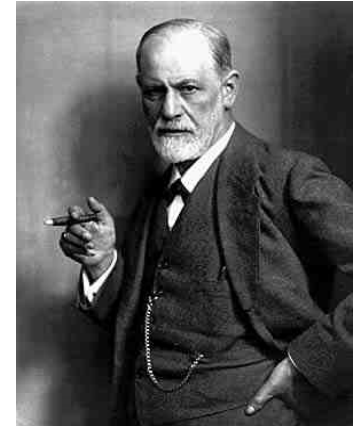
(1856-  
1939)



# Sigmund Freud and the 'Repressive Hypothesis'

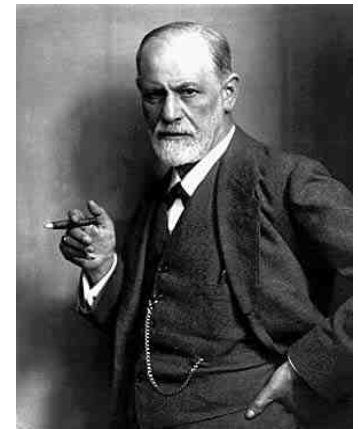
## Implications:

- Unconscious forces drive most of our behavior
- Our behavior is not generally the result of rational calculations, or based on information alone. Emotion plays a major role in decision making.



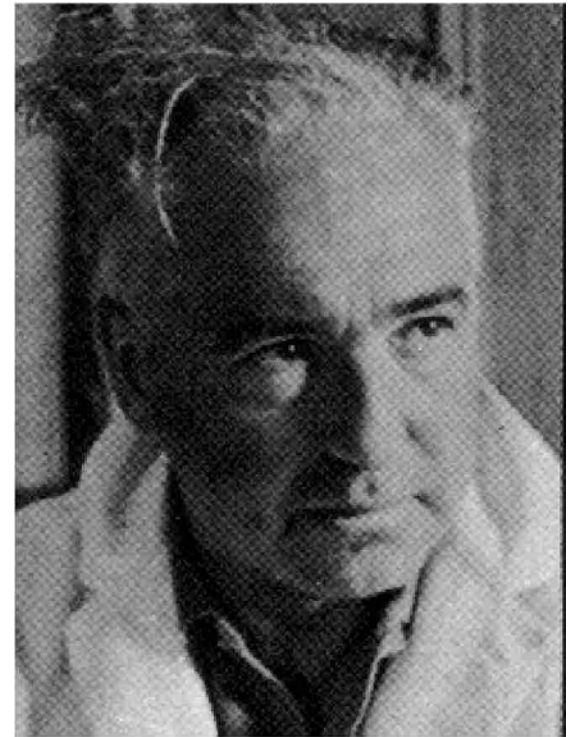
# ***Civilization and its Discontents***

- Freud argued that **Human Nature** is inherently violent and aggressive, and that **sublimation** of these primal instincts is necessary for civilization to continue.
- **Sublimation** occurs when primary sexual energies are repressed, and then redirected towards artistic or cultural ends.



# Wilhelm Reich

- Student of Freud's
- Contrary to Freud, Reich argued that **Human Nature** is inherently peaceful, loving, and affectionate.
- Rather than repression and redirection of the primary drives (sublimation) being necessary for peaceful coexistence, Reich argued that such repression *was the cause of* violent and pathological tendencies in humans.

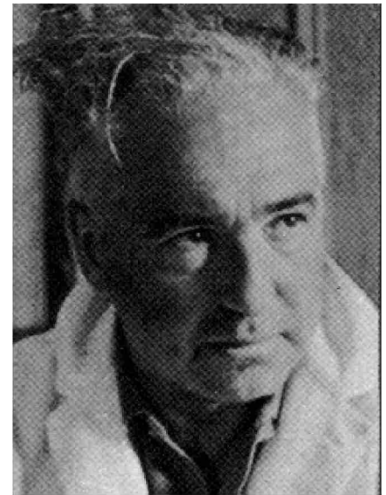


(1897-  
1957)

# Wilhelm Reich

Reich sought answers to the following questions:

1. What explains fascism?
2. Why are children more emotional than adults?
3. What is the biological function/purpose of emotions?





# Reich's 'Discoveries'

## 1. Muscular and Character Armor

- Our personalities reflect in part the chronic tensions we hold in our bodies

## 2. Primary versus Secondary Drives

- Our primary drives/desires are to reach out towards pleasure, affection, and love. These often get chronically unsatisfied or blocked, and we develop secondary drives, like obtaining money, or becoming famous, etc.

## 3. Sexual Emotional Energy and “Function of the Orgasm”

- His most famous and controversial claim was that the purpose of the sexual orgasm was the release of chronic in-built tension. The release of this muscular “armor” (tension) would concur with a psychological release of our character structure. We would become more spontaneous and caring.

## **II. Critical Theory**

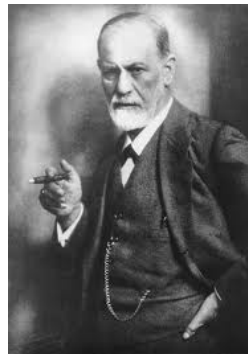
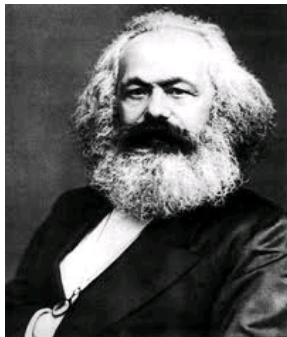
# Critical Theory Overview

## Focus:

- The use of reason to critique the ways in which reasoned, critical thought is suppressed in culture and in institutional practices
- Reason used to dominate rather than to liberate individuals/groups
- Highlight the irrational character of the established rationality

# The Frankfurt School

- The Frankfurt School tried to assimilate the psycho-analytic writings of Freud with Marxian political economy, in an attempt to explain why the revolutions had not occurred. They turned to a theory of culture and ideology to account for the failure of socialist revolutions in the West.





# The Frankfurt School

- The 'first generation' of Frankfurt School theorists included Theodor W. Adorno, Max Horkheimer, Walter Benjamin, Herbert Marcuse, Erich Fromm, among others.
- Habermas is the leading intellectual figure in the Frankfurt School, belonging to its second generation of theorists.



Adorno



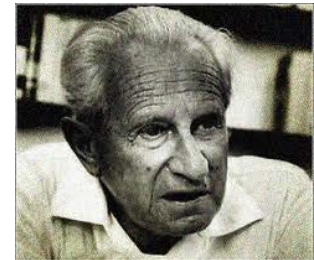
Horkheimer



Benjamin



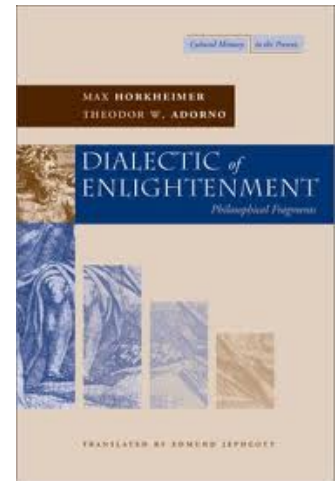
Fromm



Marcuse

# Dialectic of Enlightenment

- Enlightenment thought was intended to **emancipate** humanity.
- It accomplished this through science and technology, which entailed the **domination of nature**.
- Instead of freeing humanity, technology has been used to dominate both nature and humanity!



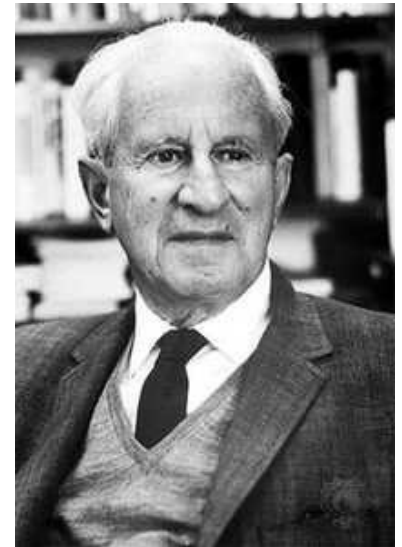
Horkheimer



Adorno

# Herbert Marcuse

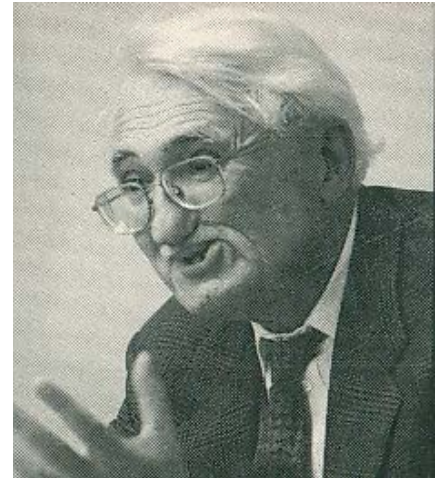
- Psychoanalyst, Sociologist, and Philosopher; a leader of the student protest movements in the 1960s.
- His most famous book is *One-Dimensional Man*:
  - Agreed with Freud that some repression of our instincts was necessary, but argued that there existed in society **surplus repression**, or more repression than is technologically necessary to keep the society running.
  - Freedom is repressed through a process he refers to as "**repressive desublimation**."



(1898-  
1979)

# Jürgen Habermas

- Associated with the '2<sup>nd</sup> generation' of Frankfurt School theorists
- Opposes technological determinism.
- Growth of productive forces doesn't necessarily emancipate
- Therefore, emancipation requires critical reflection
- Wants to liberate us from external constraints on speech and thought.



# Facts and Norms

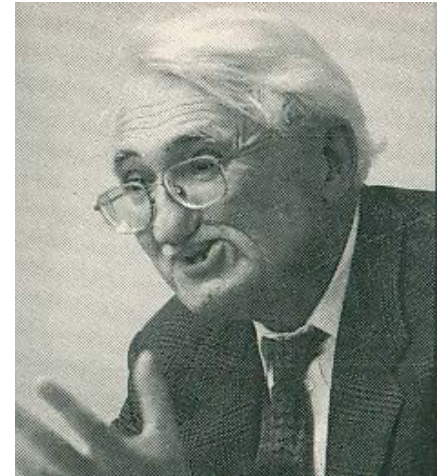
<u>FACTS</u>	NORMS/VALUES
What <i>is</i>	What <i>should</i> be; <i>ought</i>
Descriptive	Prescriptive
Technological, Instrumental rationality	<b>teleological</b>

- Is there a 'rational' way to determine the ends we pursue? Or is this just a matter of opinion, faith, values, etc.?
- Habermas says yes, there is a way to rationally determine whether a value or opinion is rational!



# Habermas and Legitimacy

- According to Jürgen Habermas, social relationships are ***legitimate*** to the extent that they are based on free, unconstrained, and open communication among all of participants and include all of those affected. He refers to this as the ***ideal speech situation***.





# Two Types of Criticisms

## 1. INTERNAL CRITIQUE

- Accepts the moral criterion of evaluation of a justification, but rejects the collective decision (or relationship) as falling short of this criterion, or even being incompatible with it.

## 2. EXTERNAL CRITIQUE

- Rejects the value implied in the justification and offers an alternative moral criterion of evaluation.



# Two Types of Criticisms

- **Example:** “We *should* eat at McDonald's for dinner, because the food tastes good.”
  - Claim = “We should eat at McDonald’s”
  - Warrant = “Because the food tastes good”
  - Implied normative criterion: Taste
- 1. Internal critique: “Yes, we should eat at the best tasting restaurant, but Burger King is better”
- 2. External critique: “No, we should eat at the healthiest restaurant”



# Claims and Warrants

- To argue is to *evaluate claims on the basis of their warrants*.
  1. **Claim**- a statement about what is, or what should be;
  2. **Warrants**- reasons or evidence that supports the claim
    - What counts as evidence depends on the nature of the claim (see next slide)



## 3 criteria for evaluating the *validity* of claims

- All statements fall into three categories, based on how that statement's *validity-claims* can be *evaluated*:
  1. Objective; The external world :: Truth
  2. Subjective; The internal world :: Sincerity
  3. Normative; **The social world** :: Rightness (morality)



# Continuum of Social Relations



**Explicit and Open  
Communication**

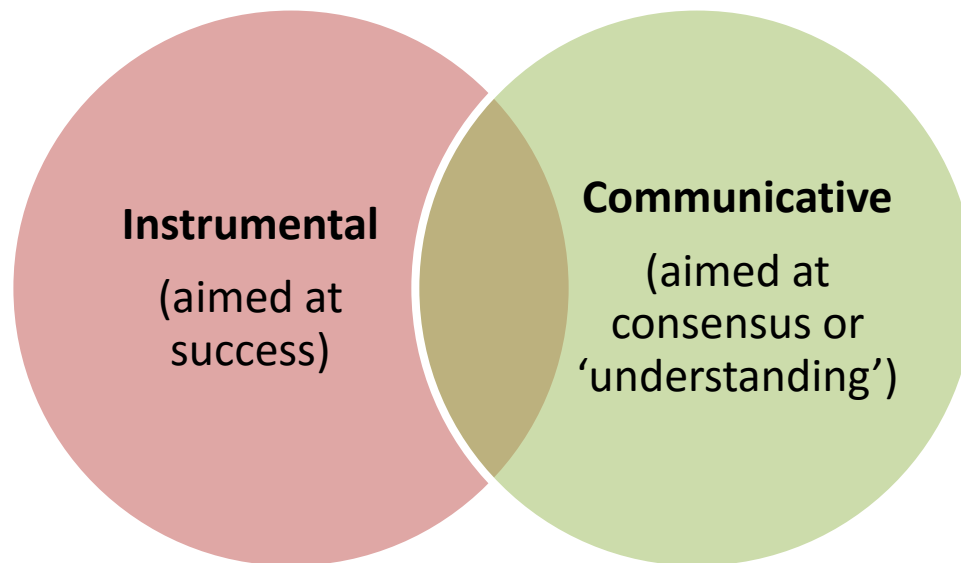
Agreement/Disagreement  
Justification/Criticism

**Violence/Coercion:**

No attempt to  
communicate or to reach  
agreement through  
argument

# Types of Action

- Two 'models of action': instrumental vs. communicative:
  1. *Instrumental* = using someone else
  2. *Communicative* = forming a *common* will



# Types of Action

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Action Orientation/→ Action Situation	<u>Oriented to Success</u>	<u>Oriented to Reaching Understanding</u>
Nonsocial	<i>Instrumental action</i>	--
Social	<i>Strategic action</i>	<i>Communicative action</i>

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# Bridging the Fact-Value Divide

- According to Habermas, to understand any statement means you can evaluate its validity (truth, sincerity, or rightness).
- **Evaluation is inherent to understanding.** For Habermas, the *primary* intent of every communication is that the **intent of the communication be understood**. Therefore, to understand a normative statement implies we can evaluate it in some way (e.g. by examining the arguments pro and con).
- What is important for Habermas is that normative statements can also be evaluated on a rational basis. He thus attempts to bridge the divide between **facts and norms** (aka facts and values).

# Bridging the Fact-Value Divide

- Habermas tells us that social interaction consists of communication, and that the social decisions (aka “collectively binding decisions”) are **valid** to the extent that they are arrived at through a process of **free and open (unconstrained) communication**.
- His theory doesn’t tell us whether the content of any *particular* decision is valid or invalid, but rather, his theory attempts to show us how we can determine whether the *means by which we arrive at* collective decisions are valid or invalid.

# Bridging the Fact-Value Divide

- ‘Seriously intended agreement is an *end in itself*’
- Agreement = power that rests on the ***forceless force of conviction***, or, the ***‘force of the better argument.’***
- ***The strength of a consensus is measured on its claim to ‘rational validity’***, not its success in achieving goals.



# Bridging the Fact-Value Divide

- **How do we know which coercion is rationally legitimate?**
  - What kind society could be rationally legitimated? Every society has to use some coercion. It has to have laws, etc.
- **It must serve *general interests*.**
  - Must find universal moral rules that apply to every rational person.
  - Example: all human beings share a common interest in preventing deadly diseases and environmental devastation.
- **All coercive mechanisms must be legitimate in the eyes of all (or most) of all those capable of participating in a rational discourse about it. = “*Universal pragmatics*”**

# Structural Violence and Distorted Communication

- **“Structural violence” = *unperceived* blockages to communication**, which does *not* manifest itself as *force*.
  - Agreements (consensus) can *\*appear\** to arise from unconstrained, unblocked communication (‘ideal speech situation’), and therefore *\*appear\** to give rise to legitimate power, when in reality, it arises from blocked, constrained communication and is therefore illegitimate.

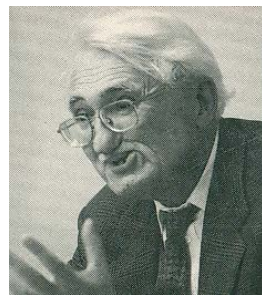
# Structural Violence and Distorted Communication

- A speech situation is deformed if it is coerced.
- Example: your boss asks you, “Do you like my new tie?” (You can’t say no!) This is coercive, and deforms and distorts communication. This is a kind of coercion for Habermas.



# Structural Violence and Distorted Communication

- In short: Habermas thinks that people are deluded about their objective interests, because of 'distortions' in communication, i.e. b/c of *ideology*.
- *Is this just another version of ideology-critique?* **YES** (but with a 'communicative' twist).



# Legitimation Crisis

- **Legitimation crisis** = state unable to sustain the mass loyalty of its citizens
  - Interrelated economic and political ***steering*** problems in the capitalist system/society

**Examples:** 1960s, hippies,  
London Riots, 2011

- Why do youth today distance themselves from the norms of society? Symptomatic of problems with socialization of children.



# Public Sphere and 'Colonization of Life-World'

- Freedom to engage in political discussion and informal communication core to democratic society
- **Civil society:** Private individuals/citizens coming together in, and as a public
- Today, **public sphere colonized by economic interests; communication is restricted**



# Criticisms and Evaluation of Habermas

- **Habermas as new critique of Censorship.**
- **Central dilemma:** Habermas' theory implies a capture of the state to eliminate old bourgeois limitations on public discourse, but this would impose new systems of domination.
- *\*To do what it must to establish equality, it will undermine the freedom it espouses.*
- Habermas therefore doesn't want to spell out explicitly the political command implications of his theory, because he is troubled by the historical failures of communism/socialism. So he confines his project to the level of theory alone.